## FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: June 29, 2015 - 10:34 AM Version 5.0

#### **User Selection Criteria**

**Location:** New York, all activities **Activity Location:** None Chosen

Handler ID: NYD002011450 Group of IDs: None Chosen

Handler Name:

**Handler Universe:** All Facilities Regardless of Universe **Determined Date Range:** From: 10/01/1980 To: 06/29/2015

Location County Code: None Chosen Evaluation Type:

Location City: Focus Area:

Location Zip Code: Violation Type:

State District: None Chosen Display Code Descrip.: Yes

Sort Order: Region, State, Handler Name Display Universes: Yes

#### Results

Data meeting the criteria you selected follows.

Total Pages: 25 Total Handlers:1

### **Report Description**

This report presents available information from the Resource Conservation and Recovery Act Information System (RCRAInfo) about compliance evaluations, violations, and enforcement actions meeting the criteria supplied by the user. Evaluations showing no violations does not always indicate that no violations were determined. Violation without enforcement actions does not always mean no enforcement action will be issued. In order to avoid releasing enforcement sensitive information to the public the following information is not shown on the report: pending civil / judicial referrals, criminal actions and referrals, and State to EPA referrals; all other enforcement actions are released.

### **Report Information**

Name: cme\_foia.rdf

Developed by: EPA Headquarters, Office of Enforcement and Compliance Assurance

Deployed: June 2006 Last Updated: May 2012

Contact: rcrainfo.help@epa.gov

Tables Used: cmecomp3, ccitation3, hreport\_univ5, lu\_citation, lu\_state, hid\_groups

Libraries: none

HE EXCELSIOR PACKAGING	GROUP	County N	ame / Code: WES	TCHESTER / NY119		NYD002011450
ocation: 159 ALEXANDER ST; YONKE	ERS, NY 10701					REGION 02
ailing: 159 ALEXANDER ST; YONKE	ERS, NY 10701					
ctivity Location: NY	State District: NYSDEC R3	Accessibility:	No	n-Notifier:	Extract Flag:	Y Active Site: Y
hort-Term Gen: N ull Enforcement:	Transporter: N Transfer Facility: N Converter: State TSDF:	Operating TSDF: Offsite Receiver: State Unaddressed State Addressed SN State SNC w/Comp	IC: N	IC In Place: HSM: EPA Unaddressed SNC: EPA Addressed SNC: EPA SNC w/Comp Sche	N	EI Indicator (HE / GW) N / N Subpart K:
Violation: Activity Location: NY Scheduled Compliance Date: Citation Information: Seq # Tyl 1 ST		Determined Date: 10/30/2013 ctual Compliance Date: 02/27/2 Citation 372.2(a)(8)(ii)		ed by Agency: State RTC Qualifier: OBSERV	•	onsible Agency: State Sequence Number: 48
CEI Evaluation 10/30/2013 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	,	dentifier: 001 Not Subtitle 0	Person: NYWME C: NO Day Zero:	Branch: R3 10/30/2013	Found Violation: YES Focus Area:
Enforcement: Activity Location: Docket: R32013-1119-159 Penalty Information: Propo	,	Agency: State onetary: \$6,000 Colle	Action Date: 02/27 Responsible cted: \$6,000 Appeal Ir	Person: NYWMI  Total Final: \$6,000		
Enforcement: Activity Location: Docket: R32013-1119-159 CA Component: N	•	Agency: State	Action Date: 02/14 Responsible Appeal Ir	Person: NYWMI	Identifier: 00 Branch: R	
Scheduled Compliance Date: Citation Information: Seq # Tyl 1 ST		Determined Date: 12/06/2012 ctual Compliance Date: 12/06/2 Citation 372.2(a)(8)(i)(a)		ed by Agency: State RTC Qualifier: OBSERV		onsible Agency: State Sequence Number: 38
CEI Evaluation 12/06/2012 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	•	dentifier: 001 Not Subtitle 0	Person: NYFKY C: NO Day Zero:	Branch: R3 12/06/2012	Found Violation: YES Focus Area:
Enforcement: Activity Location: Docket: R3201303153620 Penalty Information: Propo		Agency: State onetary: \$45,000 Colle	Action Date: 09/26 Responsible cted: \$45,000 Appeal Ir	Person: NYMOC  Total Final: \$45,00		3
Enforcement: Activity Location: Docket: R320131119159 Penalty Information: Propo	NY Ty	pe: 210 Agency: State	Action Date: 04/08		Identifier: 00 Branch: R	
CA Component: N  Enforcement: Activity Location: Docket:	•		Appeal Ir Action Date: 03/06 Responsible		Identifier: 00 Branch: R	
CA Component: N	Disposition Status	·	Appeal Ir	nitiated:		Appeal Resolved:

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement: Docket: CA Compo	Activity Location	on: NY	Disposition Sta	Type: 140 Agency: tus:	State		Date: 01/04 Responsible Appeal In	Person: N	IYMOC		er: 001 nch: R3 Appe	eal Resolved:
Scheduled Comp Citation Informat	oliance Date: tion: Seq#	Type: 2 Type STATE REG		Actual Con	ined Date: 12/06/20 npliance Date: 12/0 itation 72.2(a)(8)(ii)		Determine		ncy: State alifier: DOCUME	ENTED		ble Agency: State equence Number: 39
CEI Evaluation Citizen Com	12/06/2012 nplaint: NO	•	Location: NY nedia Inspection:	By: S	,,,,,,	Identifie N	er: 001 ot Subtitle C		n: NYFKY Day Zero:	Branch: 12/06/20		Found Violation: YES Focus Area:
	3201303153620			Type: 310 Agency:	State		Date: 09/26 Responsible		IYMOC		er: 001 nch: R3	
Penalty Int CA Comport Enforcement: Docket: R3	nent: N Activity Location 320131119159	on: NY	nation Printed Al Disposition Sta	tus: AS 1 Type: 210 Agency:			Appeal In Date: 04/08 Responsible	/2013	IYMOC		Appo er: 001 nch: R3	eal Resolved:
CA Compose Enforcement:  Docket:			Disposition Sta				Appeal In Date: 03/06 Responsible	/2013	IYMOC		Appe er: 001 nch: R3	eal Resolved:
CA Comport Enforcement: Docket: CA Comport	Activity Location	on: NY	Disposition Sta	Type: 140 Agency:	State		Appeal In Date: 01/04 Responsible Appeal In	/2013 Person: N	IYMOC		er: 001 nch: R3	eal Resolved:
Scheduled Comp Citation Informat	oliance Date: tion: Seq#	Type: 2  Type  STATE REG		Actual Con	ined Date: 12/06/20 npliance Date: 12/0 itation 72.2(a)(8)(i)(b)		Determine	, ,	ncy: State alifier: OBSERV	'ED	•	ble Agency: State equence Number: 40
CEI Evaluation Citizen Com	12/06/2012 nplaint: NO	•	Location: NY nedia Inspection:	By: S	State Sampling: NO	Identifie N	er: 001 ot Subtitle C		n: NYFKY Day Zero:	Branch: 12/06/20	-	Found Violation: YES Focus Area:
Enforcement: Docket: R3 Penalty Int			nation Printed Al Disposition Sta				Date: 09/26 Responsible Appeal In	Person: N	IYMOC		er: 001 nch: R3 Appe	eal Resolved:
	320131119159			Type: 210 Agency:	State		Date: 04/08 Responsible	/2013	IYMOC		er: 001 nch: R3	
Penalty In		enaity Inforr	nation Printed Al Disposition Sta		1/18/13		Appeal In	itiated:			Appe	eal Resolved:

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement: Activity Location: NY	Type: 140	Action Date: 03/06/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMOC	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 140	Action Date: 01/04/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMOC	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
tion: Activity Location: NY Typ	e: XXS Determined Date: 12/06/2	012 Determined by Agency: State	Responsible Agency: State
cheduled Compliance Date:	Actual Compliance Date: 12/	06/2012 RTC Qualifier: OBSERV	/ED Sequence Number: 42
Citation Information: Seq # Type	Citation		
1 STATE F	REGULATION 373-3.9(d)(3)		
CEI Evaluation 12/06/2012 Ac	tivity Location: NY By: State	Identifier: 001 Person: NYFKY	Branch: R3 Found Violation: YES
Citizen Complaint: NO M	ultimedia Inspection: NO Sampling: NO	Not Subtitle C: NO Day Zero:	12/06/2012 Focus Area:
Enforcement: Activity Location: NY	Type: 310	Action Date: 09/26/2013	Identifier: 001
Docket: R3201303153620	Agency: State	Responsible Person: NYMO(	Branch: R3
	nformation Printed Above	Trespondible Folder: Trimer	
CA Component: N	Disposition Status: AS 11/18/13	Appeal Initiated:	 Appeal Resolved:
Enforcement: Activity Location: NY	Type: 210	Action Date: 04/08/2013	Identifier: 001
Docket: R320131119159	Agency: State	Responsible Person: NYMOC	Branch: R3
Penalty Information: Penalty In	nformation Printed Above	·	
CA Component: N	Disposition Status: AS 11/18/13	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 140	Action Date: 03/06/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMO(	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
inforcement: Activity Location: NY	Туре: 140	Action Date: 01/04/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMOC	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
tion: Activity Location: NY Typ	e: 265.B Determined Date: 12/06/2	012 Determined by Agency: State	Responsible Agency: State
cheduled Compliance Date:	Actual Compliance Date: 12/	06/2012 RTC Qualifier: DOCUM	ENTED Sequence Number: 43
Citation Information: Seq # Type	Citation		
1 STATE F	REGULATION 373-3.2(g)(4)		
<b>CEI Evaluation</b> 12/06/2012 Ac	tivity Location: NY By: State	Identifier: 001 Person: NYFKY	Branch: R3 Found Violation: YES
	ultimedia Inspection: NO Sampling: NO	Not Subtitle C: NO Day Zero:	12/06/2012 Focus Area:
Enforcement: Activity Location: NY	Type: 310	Action Date: 09/26/2013	Identifier: 001

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

	t: Activity Location	n: NY		/pe: 210	Actio	on Date: 04/0			Identifier:		
	: R320131119159			Agency: State		Responsibl	le Person: NYN	100	Branch:	R3	
	•	enalty	nformation Printed Abo								
	mponent: N			s: AS 11/18/13			Initiated:				l Resolved:
Enforcemen	,	n: NY		/pe: 140	Actio	on Date: 03/0		100	Identifier:		
Docket	=			Agency: State		•	le Person: NYN	/IOC	Branch:		l Danah radi
CA Col Enforcemen	mponent: N  ot: Activity Location	n: NV	Disposition Statu	s: /pe: 140	Λ otic	Appeai on Date: 01/0	Initiated:		Identifier:		ll Resolved:
Docket		II. INT	•	Agency: State	ACIIC		le Person: NYN	<b>1</b> 00	Branch:		
	nponent: N		Disposition Statu	• •		•	Initiated:	100	Dianen.		l Resolved:
A . C	de Lasadas NN	т.	005 D	Datamai's ad Data	40/00/0040	D.t.	I b A	01-1-	D.		
	vity Location: NY	ıyı	be: 265.B	Determined Date ctual Compliance I		Determi	ned by Agency:	: State ier: DOCUME		•	e Agency: State quence Number: 44
	ompliance Date: rmation: Seq # 1	ivno	F	ctual Compliance I Citation	Jaie. 12/00/2012		K I C Qualif	IEI. DOCUME	INIEU	Sec	quence mumber: 44
Citation inio	•	ype	REGULATION		(1),(2), and (3)						
		IAILI	REGULATION								
CEI Evaluati	ion 12/06/2012	Ad	ctivity Location: NY	By: State		ifier: 001	Person:		Branch: R3	3	Found Violation: YES
Citizen	Complaint: NO	N	Iultimedia Inspection: N	O Sampl	ling: NO	Not Subtitle	C: NO	Day Zero:	12/06/2012		Focus Area:
Enforcemen	t: Activity Location	n: NY	T,	rpe: 310	Actio	on Date: 09/2	26/2013		Identifier:	001	
Docket	: R3201303153620			Agency: State		Responsibl	le Person: NYN	1OC	Branch:	R3	
Penal	y Information: F	enalty	nformation Printed Abo	ve							
CA Co	mponent: N		Disposition Statu	s: AS 11/18/13		Appeal	Initiated:			Appea	l Resolved:
Enforcemen	t: Activity Location	n: NY		pe: 210	Actio	on Date: 04/0			Identifier:		
Docket	: R320131119159			Agency: State		Responsibl	le Person: NYN	100	Branch:	R3	
	•	enalty	nformation Printed Abo								
	mponent: N			s: AS 11/18/13			Initiated:				l Resolved:
Enforcemen	,	n: NY		/pe: 140	Actio	on Date: 03/0			Identifier:		
Docket				Agency: State			le Person: NYN	1OC	Branch:		
	mponent: N		Disposition Statu				Initiated:				ll Resolved:
Enforcemen		n: NY		/pe: 140	Actio	on Date: 01/0		106	Identifier:		
Docket				Agency: State			le Person: NYN	/IOC	Branch:		
CA Coi	mponent: N		Disposition Statu	S:		Appeal	Initiated:			Appea	Il Resolved:
	vity Location: NY	Туј	oe: 265.B	Determined Date		Determi	ned by Agency:			•	e Agency: State
	ompliance Date:		F	ctual Compliance I	Date: 12/06/2012		RTC Qualif	ier: DOCUME	NTED	Sec	quence Number: 45
Citation Info	· ·	ype TATE I	REGULATION	Citation 373-3.2(g)(	(5)						

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement: Activity Location: NY	Type: 310	Action Date: 09/26/2013	Identifier: 001
Docket: R3201303153620	Agency: State	Responsible Person: NYMOC	Branch: R3
Penalty Information: Penalty In	formation Printed Above		
CA Component: N	Disposition Status: AS 11/18/13	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 210	Action Date: 04/08/2013	Identifier: 001
Docket: R320131119159	Agency: State	Responsible Person: NYMOC	Branch: R3
Penalty Information: Penalty In	formation Printed Above		
CA Component: N	Disposition Status: AS 11/18/13	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 140	Action Date: 03/06/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMO(	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 140	Action Date: 01/04/2013	Identifier: 001
Docket:	Agency: State	Responsible Person: NYMOC	Branch: R3
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Citation Information: Seq # Type	: 265.C Determined Date: 12/06, Actual Compliance Date: 12 Citation EGULATION 373-3.3(q)(1)		Responsible Agency: State ENTED Sequence Number: 46
Citation Information: Seq # Type	Actual Compliance Date: 12 Citation	, , ,	
Citation Information: Seq # Type 1 STATE RI	Actual Compliance Date: 12 Citation EGULATION 373-3.3(g)(1) vity Location: NY By: State	2/06/2012 RTC Qualifier: DOCUM  Identifier: 001 Person: NYFKY	
Citation Information: Seq # Type 1 STATE RI CEI Evaluation 12/06/2012 Acti	Actual Compliance Date: 12 Citation EGULATION 373-3.3(g)(1)	2/06/2012 RTC Qualifier: DOCUM  Identifier: 001 Person: NYFKY	ENTED Sequence Number: 46
Citation Information: Seq # Type 1 STATE RI CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu	Actual Compliance Date: 12 Citation EGULATION 373-3.3(g)(1) vity Location: NY By: State	2/06/2012 RTC Qualifier: DOCUM  Identifier: 001 Person: NYFKY	ENTED Sequence Number: 46  Branch: R3 Found Violation: YES
Citation Information: Seq # Type 1 STATE RI CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu	Actual Compliance Date: 12 Citation  EGULATION 373-3.3(g)(1) vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC	2/06/2012 RTC Qualifier: DOCUM  Identifier: 001 Person: NYFKY  Not Subtitle C: NO Day Zero:	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620	Actual Compliance Date: 12 Citation  EGULATION 373-3.3(g)(1) vity Location: NY By: State Itimedia Inspection: NO Sampling: NC  Type: 310	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620	Actual Compliance Date: 12 Citation  EGULATION 373-3.3(g)(1) vity Location: NY By: State Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated:	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: N  CA Component: N  Enforcement: Activity Location: NY	Actual Compliance Date: 12 Citation 373-3.3(g)(1) vity Location: NY By: State Itimedia Inspection: NO Sampling: NC Type: 310 Agency: State formation Printed Above Disposition Status: AS 11/18/13 Type: 210	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: NY CA Component: N  Enforcement: Activity Location: NY Docket: R320131119159	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated:	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved:
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Inf	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above  Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Information: Penalty Information: Penalty Information: Penalty Information: Penalty Information: NY CA Component: N	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above Disposition Status: AS 11/18/13	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved:  Identifier: 001 Branch: R3  Appeal Resolved:
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Information: NY CA Component: N  Enforcement: Activity Location: NY	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 140	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 03/06/2013	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Information: Penalty Information: NY Docket: R320131119159  Penalty Information: Penalty Information: NY CA Component: N  Enforcement: Activity Location: NY Docket: R32013119159	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 140 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 03/06/2013 Responsible Person: NYMOC	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3
Citation Information: Seq # Type 1 STATE RI  CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Inforcement: NY Docket: R320131119159  Penalty Information: Penalty Inforcement: NY Docket: R320131119159  Penalty Information: Penalty Information: NY CA Component: N  Enforcement: Activity Location: NY Docket: CA Component: N	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 140 Agency: State Disposition Status:  Disposition Status: AS 11/18/13 Type: 140 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 03/06/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 03/06/2013 Responsible Person: NYMOC Appeal Initiated:	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved:
CEI Evaluation 12/06/2012 Acti Citizen Complaint: NO Mu  Enforcement: Activity Location: NY Docket: R3201303153620  Penalty Information: Penalty Inforcement: N  Enforcement: Activity Location: NY Docket: R320131119159  Penalty Information: Penalty Inforcement: N  Enforcement: Activity Location: NY Docket: R320131119159  Penalty Information: Penalty Information: N  Enforcement: Activity Location: NY Docket:	Actual Compliance Date: 12 Citation 373-3.3(g)(1)  vity Location: NY By: State  Itimedia Inspection: NO Sampling: NC  Type: 310 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 210 Agency: State  formation Printed Above Disposition Status: AS 11/18/13 Type: 140 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zero: Action Date: 09/26/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 04/08/2013 Responsible Person: NYMOC  Appeal Initiated: Action Date: 03/06/2013 Responsible Person: NYMOC	Branch: R3 Found Violation: YES 12/06/2012 Focus Area:  Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3  Appeal Resolved: Identifier: 001 Branch: R3

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

lation: Activity Location: NY	Type: 265.D	Determined Date: 12/06/20	12 Determ	nined by Agency: State	Respons	ible Agency: State
Scheduled Compliance Date:		Actual Compliance Date: 12/0	6/2012	RTC Qualifier: DOCUM	MENTED S	Sequence Number: 47
Citation Information: Seq # Type		Citation				
1 STA	TE REGULATION	373-3.4(d)(2)				
CEI Evaluation 12/06/2012	Activity Location: NY	By: State	Identifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	NO Sampling: NO	Not Subtit	e C: NO Day Zero	o: 12/06/2012	Focus Area:
Enforcement: Activity Location:	NY -	Гуре: 310	Action Date: 09	9/26/2013	Identifier: 001	
Docket: R3201303153620		Agency: State	Responsi	ble Person: NYMOC	Branch: R3	
Penalty Information: Pena	alty Information Printed Ab	ove				
CA Component: N	Disposition Sta	tus: AS 11/18/13	Appea	al Initiated:	App	eal Resolved:
<b>Enforcement:</b> Activity Location:	NY	Гуре: 210	Action Date: 04	1/08/2013	Identifier: 001	
Docket: R320131119159		Agency: State	Responsi	ble Person: NYMOC	Branch: R3	
Penalty Information: Pena	alty Information Printed Ab	ove				
CA Component: N	Disposition Star	tus: AS 11/18/13	Appea	al Initiated:	Арр	eal Resolved:
<b>Enforcement:</b> Activity Location:	NY	Гуре: 140	Action Date: 03	3/06/2013	Identifier: 001	
Docket:		Agency: State	Responsi	ble Person: NYMOC	Branch: R3	
CA Component: N	Disposition Stat	tus:	Appea	al Initiated:	Арр	eal Resolved:
<b>Enforcement:</b> Activity Location:	NY	Гуре: 140	Action Date: 07	1/04/2013	Identifier: 001	
Docket:		Agency: State	Responsi	ble Person: NYMOC	Branch: R3	
CA Component: N	Disposition Stat	tus:	Appea	al Initiated:	Арр	eal Resolved:
lation: Activity Location: NY	Type: 262.C	Determined Date: 01/08/20	09 Determ	nined by Agency: State	Respons	ible Agency: State
Scheduled Compliance Date:	• •	Actual Compliance Date: 01/1		RTC Qualifier: DOCUN	•	Sequence Number: 21
Citation Information: Seq # Type		Citation				
, ,,	TE REGULATION	372.2(a)(8)(i)(a)				
CEI Evaluation 01/08/2009	Activity Location: NV	By: State	Identifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
	Activity Location: NY	•				
	Multimedia Inspection:	NO Sampling: NO	Not Subtit	e C: NO Day Zero	o: 01/08/2009	Focus Area:
Citizen Complaint: NO					Identifier: 001	
Enforcement: Activity Location:	NY	Гуре: 210	Action Date: 05	5/21/2009	identifier. 001	
<u> </u>	NY	Гуре: 210 Agency: State		5/21/2009 ble Person: NYTLR	Branch: CO	
Enforcement: Activity Location:		Agency: State				
Enforcement: Activity Location: Docket: CO3-20090521-39		Agency: State  Monetary: C	Responsi ollected:	ble Person: NYTLR	Branch: CO	eal Resolved:
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Propos CA Component: N	sed: \$100,000 Final Disposition Stat	Agency: State  Monetary: Control  Contr	Responsi ollected: Appea	ble Person: NYTLR  Total Final: al Initiated:	Branch: CO	
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Propos CA Component: N  lation: Activity Location: NY	sed: \$100,000 Final Disposition State Type: XXS	Agency: State  Monetary: Control  Lus:  Determined Date: 01/08/20	Responsi ollected: Appea	ble Person: NYTLR  Total Final: al Initiated:  nined by Agency: State	Branch: CO App Respons	ible Agency: State
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Propose CA Component: N  lation: Activity Location: NY Scheduled Compliance Date:	sed: \$100,000 Final Disposition Stat	Agency: State  Monetary: Control  Contr	Responsi ollected: Appea	ble Person: NYTLR  Total Final: al Initiated:	Branch: CO App Respons	
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Propose CA Component: N  lation: Activity Location: NY Scheduled Compliance Date: Citation Information: Seq # Type	sed: \$100,000 Final Disposition Stat	Agency: State  Monetary: Control  Determined Date: 01/08/20  Actual Compliance Date: 01/1	Responsi ollected: Appea	ble Person: NYTLR  Total Final: al Initiated:  nined by Agency: State	Branch: CO App Respons	ible Agency: State
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Propose CA Component: N  lation: Activity Location: NY Scheduled Compliance Date: Citation Information: Seq # Type	sed: \$100,000 Final Disposition Stat Type: XXS	Agency: State  Monetary: Control  Determined Date: 01/08/20  Actual Compliance Date: 01/1  Citation	Responsi ollected: Appea	ble Person: NYTLR  Total Final: al Initiated:  nined by Agency: State	Branch: CO App Respons	ible Agency: State

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

	Activity Location -20090521-39		Гуре: 210 Agency: State	Action Date: Respon	05/21/2009 sible Person: NYTL		ifier: 001 anch: CO	
Penalty Info	rmation: F	Penalty Information Printed Ab	ove					
CA Compone	ent: N	Disposition Sta	tus:	Арр	eal Initiated:		Appea	al Resolved:
ation: Activity Lo		Type: XXS	Determined Date: 01/08/2	2009 Dete	mined by Agency:	State	Responsib	le Agency: State
Scheduled Complia	ance Date:		Actual Compliance Date: 01/	13/2009	RTC Qualifie	r: DOCUMENTED	Se	quence Number: 23
Citation Informatio	•	Туре	Citation					
	1 5	STATE REGULATION	372.2(b)(2)(ii)					
CEI Evaluation Citizen Comp	01/08/2009 laint: NO	Activity Location: NY Multimedia Inspection:	By: State NO Sampling: NO	Identifier: 001 Not Subt	Person: Nitle C: NO	YFKY Branch Day Zero: 01/08/2		Found Violation: YES Focus Area:
Enforcement: /	Activity Location	on: NY	Туре: 210	Action Date:	05/21/2009	Ident	ifier: 001	
	-20090521-39		Agency: State		sible Person: NYTL		anch: CO	
Penalty Info	rmation: F	Penalty Information Printed Ab	<u> </u>					
CA Compone		Disposition Sta		App	eal Initiated:		Appea	al Resolved:
lation: Activity Lo	cation: NY	Type: 265.D	Determined Date: 01/08/2	2009 Dete	mined by Agency:	State	Responsib	le Agency: State
Scheduled Complia	ance Date:		Actual Compliance Date: 01/	14/2009	RTC Qualifie	r: OBSERVED	Se	quence Number: 24
Citation Informatio	n: Seq#	Туре	Citation					
	1 9	STATE REGULATION	373-3.4(c)					
CEI Evaluation	01/08/2009	Activity Location: NY	By: State	Identifier: 001	Person: N	YFKY Branch	n: R3	Found Violation: YES
Citizen Comp	laint: NO	Multimedia Inspection:	•	Not Subt	itle C: NO	Day Zero: 01/08/2	2009	Focus Area:
Enforcement: /	Activity Location	on. NV	Туре: 310	Action Date:	2/04/2042	ldont	ifiam 001	
	-20090521-39		Agency: State		sible Person: NYTL		ifier: 001 anch: CO	
Penalty Info		Penalty Information Printed Ab		ТСЗРОП	SIDIC I CISOII. IVI IL	T DIE	IIICII. OO	
CA Compone		Disposition Sta		Δnn	eal Initiated:		Anno	al Resolved:
Enforcement: A			Гуре: 210	Action Date:		ldent	ifier: 001	ai Nesoiveu.
	-20090521-39		Agency: State		sible Person: NYTL		anch: CO	
Penalty Info	rmation: F	Penalty Information Printed Ab	· ,					
CA Compone		Disposition Sta		App	eal Initiated:		Appe	al Resolved:
•		•		• • • • • • • • • • • • • • • • • • • •				
lation: Activity Lo	cation: NY	Type: 273.B	Determined Date: 01/08/2	2009 Dete	mined by Agency:	State	Responsib	le Agency: State
Scheduled Complia		• •	Actual Compliance Date: 01/			r: DOCUMENTED		quence Number: 25
Citation Informatio		Туре	Citation					
		STATE REGULATION	374-3.2(d)(4)					

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Page 9

Enforcement: Docket: C	Activity Locat O3-20090521-3		Type: 210 Agency: State	Action Date: 05/21/2009 Responsible Person: N		ier: 001 nch: CO		
Penalty I	nformation:	Penalty Information Printe	ed Above	·				
CA Comp	onent: N	Disposition	n Status:	Appeal Initiated:		Appeal Resolved:		
ation: Activity	Location: NY	Type: 273.B	Determined Date: 01/0	8/2009 Determined by Agend	cy: State	Responsible Agency: State		
Scheduled Com	pliance Date:		Actual Compliance Date:	01/14/2009 RTC Qua	lifier: DOCUMENTED	Sequence Number: 26		
Citation Informa	ation: Seq#	Туре	Citation					
	1	STATE REGULATION	374-3.2(e)					
CEI Evaluation Citizen Co	01/08/2009 mplaint: NO	Activity Location: I Multimedia Inspec	•		: NYFKY Branch Day Zero: 01/08/20			
Enforcement:	Activity Locat	tion: NY	Type: 210	Action Date: 05/21/2009	Identif	ier: 001		
Docket: C	O3-20090521-3	39	Agency: State	Responsible Person: N	YTLR Bra	nch: CO		
Penalty I	nformation:	Penalty Information Printe	ed Above					
CA Comp	onent: N	Disposition	n Status:	Appeal Initiated:		Appeal Resolved:		
ation: Activity		Type: 273.B	Determined Date: 01/0	, 3		Responsible Agency: State		
Scheduled Com			Actual Compliance Date:	01/08/2009 RTC Qua	llifier: OBSERVED	Sequence Number: 27		
Citation Informa	•	Туре	Citation					
	3	STATE REGULATION	374-3.2(f)					
<b>CEI Evaluation</b>	01/08/2009	Activity Location: I	NY By: State	Identifier: 001 Persor	: NYFKY Branch	: R3 Found Violation: YES		
Citizen Co	mplaint: NO	Multimedia Inspec	tion: NO Sampling: N	O Not Subtitle C: NO	Day Zero: 01/08/20	Pocus Area:		
Enforcement:	Activity Locat	tion: NY	Type: 310	Action Date: 03/01/2012	Identif	ëer: 001		
Docket: C	O3-20090521-3	39	Agency: State	Responsible Person: N	YTLR Bra	nch: CO		
Penalty I	nformation:	Penalty Information Printe	ed Above					
CA Comp	onent: N	Disposition	n Status:	Appeal Initiated:		Appeal Resolved:		
	Activity Locat		Type: 210	Action Date: 05/21/2009	Identif	ier: 001		
Docket: C	O3-20090521-3	· -	Agency: State	Responsible Person: N	YTLR Bra	nch: CO		
	nformation:	Penalty Information Printe						
CA Comp	onent: N	Disposition	n Status:	Appeal Initiated:		Appeal Resolved:		
ation: Activity		Type: 273.B	Determined Date: 01/0	, 3.	•	Responsible Agency: State		
Scheduled Com	•	_	Actual Compliance Date:	01/08/2009 RTC Qua	llifier: OBSERVED	Sequence Number: 28		
Citation Informa	ation: Seq#	Type STATE REGULATION	Citation					

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

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<b>Enforcement:</b> Activity Location: NY	Type: 3		action Date: 03/01/2	2012	Identifier: 001	
Docket: CO3-20090521-39	0	cy: State	Responsible P	erson: NYTLR	Branch: CO	
	nformation Printed Above					
CA Component: N	Disposition Status:		Appeal Init			eal Resolved:
Enforcement: Activity Location: NY	Type: 2		Action Date: 05/21/2		Identifier: 001	
Docket: CO3-20090521-39		cy: State	Responsible P	erson: NYTLR	Branch: CO	
Penalty Information: Penalty I CA Component: N	nformation Printed Above Disposition Status:		Appeal Init	ated:	App	eal Resolved:
		ermined Date: 01/08/2009		by Agency: State		ible Agency: State
Scheduled Compliance Date:	Actual	Compliance Date: 01/08/20	09	RTC Qualifier: OBSERV	ED S	equence Number: 29
Citation Information: Seq # Type 1 STATE F	REGULATION	Citation 372.2(a)(8)(ii)				
	•	.,	entifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
Citizen Complaint: NO N	Iultimedia Inspection: NO	Sampling: NO	Not Subtitle C:	NO Day Zero:	01/08/2009	Focus Area:
Enforcement: Activity Location: NY	Type: 3	310 <i>F</i>	action Date: 03/01/2	2012	Identifier: 001	
Docket: CO3-20090521-39	Agen	cy: State	Responsible P	erson: NYTLR	Branch: CO	
	nformation Printed Above					
CA Component: N	Disposition Status:		Appeal Init			eal Resolved:
Enforcement: Activity Location: NY	Type: 2		Action Date: 05/21/2		Identifier: 001	
Docket: CO3-20090521-39	<u> </u>	cy: State	Responsible P	erson: NYTLR	Branch: CO	
	nformation Printed Above		A 1 1- '0'	Cara at		
CA Component: N	Disposition Status:		Appeal Init	atea:	Арр	eal Resolved:
ation: Activity Location: NY Typ	pe: 265.I Det	ermined Date: 01/08/2009	Determined	by Agency: State	Respons	ible Agency: State
Scheduled Compliance Date:	Actual	Compliance Date: 01/08/20	09	RTC Qualifier: OBSERV	ED S	equence Number: 30
Citation Information: Seq # Type		Citation				
1 STATE F	REGULATION	373-3.9(d)(1)				
CEI Evaluation 01/08/2009 Ac	tivity Location: NY E	By: State Id	entifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
Citizen Complaint: NO M	Iultimedia Inspection: NO	Sampling: NO	Not Subtitle C:	NO Day Zero:	01/08/2009	Focus Area:
Enforcement: Activity Location: NY	Type: 2	210 <i>F</i>	Action Date: 05/21/2	2009	Identifier: 001	
Docket: CO3-20090521-39	Agen	cy: State	Responsible P	erson: NYTLR	Branch: CO	
Docket: CO3-20090521-39	Agen	cy: State	Responsible P	erson: NYTLR	Branch: CO	

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

olation: Activity Location: NY	Type: 265.B	Determined Date: 01/08/20		nined by Agency: State	•	onsible Agency: State
Scheduled Compliance Date:		Actual Compliance Date: 03/2	0/2012	RTC Qualifier: NOT RES	SOLVABLE	Sequence Number: 31
Citation Information: Seq # Typ  1 STA	e ATE REGULATION	Citation 373-3.2(g)(4)				
CEI Evaluation 01/08/2009 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection:	By: State NO Sampling: NO	Identifier: 001 Not Subtitle	Person: NYFKY e C: NO Day Zero:	Branch: R3 01/08/2009	Found Violation: YES Focus Area:
Enforcement: Activity Location: Docket: CO3-20090521-39		Гуре: 310 Agency: State	Action Date: 03 Responsil	3/01/2012 ble Person: NYTLR	Identifier: 00° Branch: C0	
•	alty Information Printed Ab					
CA Component: N	Disposition Stat			al Initiated:		ppeal Resolved:
Enforcement: Activity Location: Docket: CO3-20090521-39	NY	Гуре: 210	Action Date: 05	ble Person: NYTLR	Identifier: 00	
	alti i lafa maratiana Duinta di Ala	Agency: State	Responsi	ble Person. NYTER	Branch: Co	J
Penalty Information: Pen CA Component: N	alty Information Printed Ab Disposition State		Annoa	al Initiated:		annel Decelved
CA Component. N	Disposition Stat	ius.	Арреа	ii iiiliated.	A	ppeal Resolved:
Scheduled Compliance Date:		Determined Date: 01/08/20 Actual Compliance Date: 03/2		nined by Agency: State RTC Qualifier: NOT RES		onsible Agency: State Sequence Number: 32
Citation Information: Seq # Typ  1 STA	e ATE REGULATION	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)	0/2012	RTC Qualifier: NOT RES	SOLVABLE	Sequence Number: 32
Scheduled Compliance Date: Citation Information: Seq # Typ	e	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State		RTC Qualifier: NOT RES		
Scheduled Compliance Date: Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009	e ATE REGULATION Activity Location: NY Multimedia Inspection:	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State	Identifier: 001  Not Subtitl  Action Date: 03	RTC Qualifier: NOT RES  Person: NYFKY e C: NO Day Zero:	SOLVABLE  Branch: R3	Sequence Number: 32  Found Violation: YES Focus Area:
Scheduled Compliance Date:  Citation Information: Seq # Typ	e ATE REGULATION  Activity Location: NY  Multimedia Inspection:  NY  alty Information Printed Ab	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove	Identifier: 001  Not Subtitl  Action Date: 03	RTC Qualifier: NOT RES  Person: NYFKY e C: NO Day Zero: 3/01/2012	Branch: R3 01/08/2009 Identifier: 00	Sequence Number: 32  Found Violation: YES Focus Area:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009  Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39  Penalty Information: Penalty Information: N	e ATE REGULATION  Activity Location: NY Multimedia Inspection: NY  alty Information Printed Ab Disposition Stat	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus:	Identifier: 001 Not Subtitl Action Date: 03 Responsil	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR	Branch: R3 01/08/2009 Identifier: 00 Branch: C0	Sequence Number: 32  Found Violation: YES Focus Area:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009  Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39  Penalty Information: Pen CA Component: N  Enforcement: Activity Location:	e ATE REGULATION  Activity Location: NY Multimedia Inspection: NY  alty Information Printed Ab Disposition Stat	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus: Type: 210	Identifier: 001 Not Subtitl Action Date: 03 Responsil Appea Action Date: 05	Person: NYFKY e C: NO Day Zero: 3/01/2012 ble Person: NYTLR al Initiated: 5/21/2009	Branch: R3 01/08/2009  Identifier: 00  Branch: C0	Sequence Number: 32  Found Violation: YES Focus Area:  1 D ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009 Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39  Penalty Information: Pen CA Component: N  Enforcement: Activity Location: Docket: CO3-20090521-39	e ATE REGULATION  Activity Location: NY Multimedia Inspection: NY  alty Information Printed Ab Disposition Stat	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus: Type: 210 Agency: State	Identifier: 001 Not Subtitl Action Date: 03 Responsil Appea Action Date: 05	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR	Branch: R3 01/08/2009 Identifier: 00 Branch: C0	Sequence Number: 32  Found Violation: YES Focus Area:  1 D ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ	e ATE REGULATION  Activity Location: NY Multimedia Inspection:  NY  alty Information Printed Ab  Disposition Stat  NY  alty Information Printed Ab	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus: Type: 210 Agency: State ove	Identifier: 001 Not Subtitl Action Date: 03 Responsil Appea Action Date: 05 Responsil	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR al Initiated: 6/21/2009 ble Person: NYTLR	Branch: R3 01/08/2009  Identifier: 00 Branch: C0  A Identifier: 00 Branch: C0	Sequence Number: 32  Found Violation: YES Focus Area:  1 D ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009 Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39  Penalty Information: Pen CA Component: N  Enforcement: Activity Location: Docket: CO3-20090521-39	e ATE REGULATION  Activity Location: NY Multimedia Inspection: NY  alty Information Printed Ab Disposition Stat	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus: Type: 210 Agency: State ove	Identifier: 001 Not Subtitl Action Date: 03 Responsil Appea Action Date: 05 Responsil	Person: NYFKY e C: NO Day Zero: 3/01/2012 ble Person: NYTLR al Initiated: 5/21/2009	Branch: R3 01/08/2009  Identifier: 00 Branch: C0  A Identifier: 00 Branch: C0	Sequence Number: 32  Found Violation: YES Focus Area:  1 D ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009 Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Pen CA Component: N  Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Pen CA Component: N	ATE REGULATION  Activity Location: NY  Multimedia Inspection:  NY  Alty Information Printed Ab  Disposition State  NY  Authorized Ab  Disposition Printed Ab  Disposition State  Disposition State  Disposition State	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO Type: 310 Agency: State ove tus: Type: 210 Agency: State ove	Identifier: 001 Not Subtitl Action Date: 03 Responsil Appea Action Date: 05 Responsil	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR al Initiated: 5/21/2009 ble Person: NYTLR	Branch: R3 01/08/2009  Identifier: 00 Branch: C0 A Identifier: 00 Branch: C0	Found Violation: YES Focus Area:  1 D ppeal Resolved: 1 ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ	ATE REGULATION  Activity Location: NY  Multimedia Inspection:  NY  Alty Information Printed Ab  Disposition State  NY  Palty Information Printed Ab  Disposition State  Type: 265.B	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO  Type: 310 Agency: State ove tus: Type: 210 Agency: State ove tus:	Identifier: 001 Not Subtitl Action Date: 03 Responsil  Appea Action Date: 05 Responsil  Appea	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR al Initiated: 6/21/2009 ble Person: NYTLR	Branch: R3 01/08/2009  Identifier: 00 Branch: C0  Aldentifier: 00 Branch: C0  ARRESPO	Found Violation: YES Focus Area:  1 D ppeal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ 1 STA  CEI Evaluation 01/08/2009 Citizen Complaint: NO  Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Pen CA Component: N  Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Pen CA Component: N  Ocket: CO3-20090521-39  Penalty Information: Pen CA Component: N	ACTE REGULATION  Activity Location: NY  Multimedia Inspection:  NY  Alty Information Printed Ab  Disposition Stat  NY  Alty Information Printed Ab  Disposition Stat  Type: 265.B	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) By: State NO Sampling: NO  Type: 310 Agency: State ove tus: Type: 210 Agency: State ove tus: Determined Date: 01/08/20	Identifier: 001 Not Subtitl Action Date: 03 Responsil  Appea Action Date: 05 Responsil  Appea	Person: NYFKY e C: NO Day Zero: 8/01/2012 ble Person: NYTLR al Initiated: 6/21/2009 ble Person: NYTLR al Initiated:	Branch: R3 01/08/2009  Identifier: 00 Branch: C0  Aldentifier: 00 Branch: C0  ARRESPO	Found Violation: YES Focus Area:  1 D ppeal Resolved: 1 D ppeal Resolved: 2 possible Agency: State

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcemen			Type: 310	Action Date: 03/01/2012	Identifier: 001
Docket	:: CO3-20090521-		Agency: State	Responsible Person: NYTL	_R Branch: CO
	ty Information:	Penalty Information Printe			
	mponent: N	Disposition		Appeal Initiated:	Appeal Resolved:
	nt: Activity Loca		Type: 210	Action Date: 05/21/2009	Identifier: 001
	:: CO3-20090521-		Agency: State	Responsible Person: NYTL	LR Branch: CO
	ty Information: mponent: N	Penalty Information Printe Disposition		Appeal Initiated:	Appeal Resolved:
	vity Location: NY	Type: 265.C	Determined Date: 01/08/2		
	ompliance Date: rmation: Seq #	Type STATE REGULATION	Actual Compliance Date: 01/ Citation 373-3.3(e)	14/2009 RTC Qualifie	er: DOCUMENTED Sequence Number: 34
CEI Evaluat Citizen	ion 01/08/2009 Complaint: NO		Y By: State	Identifier: 001 Person: N	NYFKY Branch: R3 Found Violation: YES Day Zero: 01/08/2009 Focus Area:
Docket	nt: Activity Loca :: CO3-20090521-	-39	Type: 210 Agency: State	Action Date: 05/21/2009 Responsible Person: NYTL	Identifier: 001 LR Branch: CO
	ty Information: mponent: N	Penalty Information Printe Disposition		Appeal Initiated:	Appeal Resolved:
lation: Acti	vity Location: NY	Type: 265.C	Determined Date: 01/08/2	009 Determined by Agency:	State Responsible Agency: State
Scheduled C	ompliance Date:		Actual Compliance Date: 01/	14/2009 RTC Qualifie	er: DOCUMENTED Sequence Number: 35
Citation Info	rmation: Seq# 1	Type STATE REGULATION	Citation 373-3.3(c)		
CEI Evaluat Citizen	ion 01/08/2009 Complaint: NO	9 Activity Location: N Multimedia Inspect	•	Identifier: 001 Person: N Not Subtitle C: NO	NYFKY Branch: R3 Found Violation: YES Day Zero: 01/08/2009 Focus Area:
	nt: Activity Loca :: CO3-20090521-		Type: 210 Agency: State	Action Date: 05/21/2009  Responsible Person: NYTL	Identifier: 001 LR Branch: CO
Penal	ty Information:	Penalty Information Printe	<u> </u>	· ·	
CA Co	mponent: N	Disposition		Appeal Initiated:	Appeal Resolved:
lation: Acti	vity Location: NY	Type: 265.C	Determined Date: 01/08/2 Actual Compliance Date: 01/	=	State Responsible Agency: State er: DOCUMENTED Sequence Number: 36
Scheduled C	omphanoo Bato.				

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement: Activity Locatio	n: NY	Гуре: 210 Agency: State	Action Date: 05/2	1/2009 Person: NYTLR	Identifier: 001 Branch: CC	
	enalty Information Printed Ab	<u> </u>	Responsible	T 613011. INTTEIX	Dianch. CC	
CA Component: N	Disposition State		Appeal I	nitiated:	A	ppeal Resolved:
Motions Author Landon NIV	T 005 D	Datama's ad Data 04/00	/0000 Patawai'a	- I I - A	D	asilita Assassa Otata
Scheduled Compliance Date:	Type: 265.D	Determined Date: 01/08/ Actual Compliance Date: 01		ed by Agency: State RTC Qualifier: OBSER\		nsible Agency: State Sequence Number: 37
·		Citation	1/14/2009	RTC Qualifier: Observ	/ED	Sequence Number: 37
·	ype TATE REGULATION	373-3.4(e)				
CEI Evaluation 01/08/2009	Activity Location: NY	By: State	Identifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	NO Sampling: NO	Not Subtitle 0	C: NO Day Zero:	01/08/2009	Focus Area:
Enforcement: Activity Locatio	n: NY	Гуре: 310	Action Date: 03/0	1/2012	Identifier: 001	
Docket: CO3-20090521-39		Agency: State	Responsible	Person: NYTLR	Branch: CC	)
Penalty Information: P	enalty Information Printed Ab	ove				
CA Component: N	Disposition Stat	tus:	Appeal I	nitiated:	A	ppeal Resolved:
Enforcement: Activity Locatio	n: NY	Гуре: 210	Action Date: 05/2		Identifier: 001	
Docket: CO3-20090521-39		Agency: State	Responsible	Person: NYTLR	Branch: CC	)
Penalty Information: P	enalty Information Printed Ab	ove				
CA Component: N	Disposition State	ius:	Appeal I	nitiated:	A	ppeal Resolved:
lation: Activity Location: NY	Type: 262.C	Determined Date: 05/30/	/2007 Determin	ed by Agency: State	Respo	nsible Agency: State
Scheduled Compliance Date:		Actual Compliance Date: 11	1/06/2012	RTC Qualifier: OBSER\	/ED	Sequence Number: 4
Citation Information: Seq # T	ype	Citation				
1 S	TATE REGULATION	372.2(a)(8)(ii)				
<b>CEI Evaluation</b> 05/30/2007	Activity Location: NY	By: State	Identifier: 001	Person: NYFKY	Branch: R3	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	•			05/30/2007	Focus Area:
Enforcement: Activity Locatio	n: NY	Гуре: 310	Action Date: 03/0	1/2012	Identifier: 001	
Docket: CO3-20090521-39		Agency: State	Responsible	Person: NYTLR	Branch: CC	)
Penalty Information: P	enalty Information Printed Ab	ove				
CA Component: N	Disposition Stat	tus:	Appeal I	nitiated:	A	ppeal Resolved:
Enforcement: Activity Locatio	n: NY	Гуре: 210	Action Date: 05/2	1/2009	Identifier: 001	
Docket: CO3-20090521-39		Agency: State	Responsible	Person: NYTLR	Branch: CC	
Penalty Information: Prop	oosed: \$100,000 Final	Monetary:	Collected:	Total Final:		
CA Component: N	Disposition Stat	tus:	Appeal I	nitiated:		ppeal Resolved:
Enforcement: Activity Locatio	n: NY	Гуре: 310	Action Date: 10/0	3/2008	Identifier: 001	
Docket: CO 3-20080117-2		Agency: State	Responsible	Person: NYSGH	Branch: CC	)
Penalty Information: Prop	oosed: Final	Monetary: \$30,000	Collected: \$30,000	Total Final: \$30,00	00	

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Docket:	ition: NY	Type: 210 Agency: State	Action Date: 02/07/2008  Responsible Person: NYSGH	Identifier: 001 Branch: R3
Penalty Information: Proposed: \$100,000 CA Component: N Disposit		inal Monetary: Status:	Collected: Total Final:  Appeal Initiated:	Appeal Resolved:
	.,		11 11 11 11 11 11 11 11 11 11 11 11 11	, ppca., 1 (000)
lation: Activity Location: NY	Type: 265.I	Determined Date: 05/3		Responsible Agency: State
Scheduled Compliance Date:	_	Actual Compliance Date:	01/08/2009 RTC Qualifier: OBSER	VED Sequence Number: 5
Citation Information: Seq # 1	Type STATE REGULATION	Citation 373-3.9(d)(1)		
CEI Evaluation 05/30/2007	7 Activity Location: N	Y By: State	Identifier: 001 Person: NYFKY	Branch: R3 Found Violation: YES
Citizen Complaint: NO	Multimedia Inspect	on: NO Sampling: N	O Not Subtitle C: NO Day Zero	: 05/30/2007 Focus Area:
Enforcement: Activity Loca	ition: NY	Type: 210	Action Date: 05/21/2009	Identifier: 001
Docket: CO3-20090521-	39	Agency: State	Responsible Person: NYTLR	Branch: CO
Penalty Information:	Penalty Information Printe	d Above		
CA Component: N	Disposition		Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Loca		Type: 310	Action Date: 10/03/2008	Identifier: 001
Docket: CO 3-20080117		Agency: State	Responsible Person: NYSGH	Branch: CO
Penalty Information:	Penalty Information Printe			
CA Component: N	Disposition		Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Loca	ition: NY	Type: 210	Action Date: 02/07/2008	Identifier: 001
Docket:	D 1/1 / 2 D' /	Agency: State	Responsible Person: NYSGH	Branch: R3
Penalty Information:	Penalty Information Printe		Appeal Initiated:	Annual Basshard
CA Component: N	Disposition	Status:	Appear miliated.	Appeal Resolved:
lation: Activity Location: NY	Type: XXS	Determined Date: 05/3	0/2007 Determined by Agency: State	Responsible Agency: State
Scheduled Compliance Date:		Actual Compliance Date:	01/08/2009 RTC Qualifier: OBSER'	VED Sequence Number: 6
Citation Information: Seq #	Type	Citation		
1	STATE REGULATION	373-3.9(d)(3)		
CEI Evaluation 05/30/2007	7 Activity Location: N	Y By: State	Identifier: 001 Person: NYFKY	Branch: R3 Found Violation: YES
Citizen Complaint: NO	Multimedia Inspect	on: NO Sampling: N	O Not Subtitle C: NO Day Zero	: 05/30/2007 Focus Area:
Enforcement: Activity Loca	ition: NY	Type: 310	Action Date: 10/03/2008	Identifier: 001
Docket: CO 3-20080117	-2	Agency: State	Responsible Person: NYSGH	Branch: CO
Penalty Information:	Penalty Information Printer	d Above		
CA Component: N	Disposition	Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Loca	ition: NY	Type: 210	Action Date: 02/07/2008	Identifier: 001
Emoreement. Activity Loca		Agency: State	Responsible Person: NYSGH	

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

	Type: 265.B	Determined Date: 05/30/20		rmined by Agency: State		sible Agency: State
Scheduled Compliance Date:  Citation Information: Seq # Type  1 STAT	E REGULATION	Actual Compliance Date: 01/0 Citation 373-3.2(h)(1)	8/2009	RTC Qualifier: DOCUM	ENTED	Sequence Number: 7
CEI Evaluation 05/30/2007 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection:	By: State NO Sampling: NO	Identifier: 001 Not Sub	Person: NYFKY title C: NO Day Zero:	Branch: R3 05/30/2007	Found Violation: YES Focus Area:
Enforcement: Activity Location: N Docket: CO 3-20080117-2 Penalty Information: Penal	NY ty Information Printed Ab	Type: 310 Agency: State	Action Date: Respon	10/03/2008 sible Person: NYSGH	Identifier: 001 Branch: CO	
CA Component: N  Enforcement: Activity Location: N  Docket:	Disposition Sta	tus: Type: 210 Agency: State	Action Date:	eal Initiated: 02/07/2008 sible Person: NYSGH	App Identifier: 001 Branch: R3	peal Resolved:
CA Component: N	Disposition Sta	tus:	Арр	eal Initiated:	App	peal Resolved:
Scheduled Compliance Date: Citation Information: Seq # Type	Type: 262.B E REGULATION	Determined Date: 05/30/20 Actual Compliance Date: 01/0 Citation 372.2(b)(5)(i)		rmined by Agency: State RTC Qualifier: DOCUM		sible Agency: State Sequence Number: 8
CEI Evaluation 05/30/2007 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection:	By: State NO Sampling: NO	Identifier: 001 Not Sub	Person: NYFKY title C: NO Day Zero:	Branch: R3 05/30/2007	Found Violation: YES Focus Area:
Enforcement: Activity Location: N		Type: 310 Agency: State	Action Date: Respon	10/03/2008 sible Person: NYSGH	Identifier: 001 Branch: CO	
CA Component: N  Enforcement: Activity Location: N  Docket:		tus: Type: 210 Agency: State	Action Date:	eal Initiated: 02/07/2008 sible Person: NYSGH	App Identifier: 001 Branch: R3	peal Resolved:
Penalty Information: Penal CA Component: N	ty Information Printed Ab Disposition Sta		Арр	eal Initiated:	App	peal Resolved:
Scheduled Compliance Date: Citation Information: Seq # Type	Type: 265.B	Determined Date: 05/30/20 Actual Compliance Date: 03/2 Citation 373-3.2(g)(4)		rmined by Agency: State RTC Qualifier: NOT RE		sible Agency: State Sequence Number: 10

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement: Activity Location: NY	Type: 310	Action Date: 03/01/2012	Identifier: 001
Docket: CO3-20090521-39	Agency: State	Responsible Person: NYTLR	Branch: CO
Penalty Information: Penalty Inform	nation Printed Above		
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 210	Action Date: 05/21/2009	Identifier: 001
Docket: CO3-20090521-39	Agency: State	Responsible Person: NYTLR	Branch: CO
Penalty Information: Penalty Inform	nation Printed Above		
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 310	Action Date: 10/03/2008	Identifier: 001
Docket: CO 3-20080117-2	Agency: State	Responsible Person: NYSGH	Branch: CO
	nation Printed Above		
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement: Activity Location: NY	Type: 210	Action Date: 02/07/2008	Identifier: 001
Docket:	Agency: State	Responsible Person: NYSGH	Branch: R3
Penalty Information: Penalty Inform	nation Printed Above		
CA Component: N	Disposition Status:	Appeal Initiated:	Appeal Resolved:
cheduled Compliance Date:	Actual Compliance Date: 03/2 Citation		Responsible Agency: State RESOLVABLE Sequence Number: 11
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGU	Actual Compliance Date: 03/2 Citation JLATION 373-3.2(g)(5) Location: NY By: State		
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGU CEI Evaluation 05/30/2007 Activity	Actual Compliance Date: 03/2 Citation JLATION 373-3.2(g)(5)	20/2012 RTC Qualifier: NOT F  Identifier: 001 Person: NYFKY	RESOLVABLE Sequence Number: 11
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGU  CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim	Actual Compliance Date: 03/2 Citation JLATION 373-3.2(g)(5) Location: NY By: State	20/2012 RTC Qualifier: NOT F  Identifier: 001 Person: NYFKY	RESOLVABLE Sequence Number: 11  Branch: R3 Found Violation: YES
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGU  CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) Location: NY By: State ledia Inspection: NO Sampling: NO	20/2012 RTC Qualifier: NOT F  Identifier: 001 Person: NYFKY  Not Subtitle C: NO Day Zei	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGULATION	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zei Action Date: 03/01/2012	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:
Scheduled Compliance Date: Citation Information: Seq # Type 1 STATE REGULATION	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zei Action Date: 03/01/2012	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:
Scheduled Compliance Date:  Citation Information: Seq # Type	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310 Agency: State ledian Printed Above	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zer  Action Date: 03/01/2012 Responsible Person: NYTLR	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO
Scheduled Compliance Date:  Citation Information: Seq # Type	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5) Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310 Agency: State ledian Printed Above Disposition Status:	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zer Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated:	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved:
Scheduled Compliance Date: Citation Information: Seq # Type	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State redia Inspection: NO Sampling: NO Type: 310 Agency: State reation Printed Above Disposition Status: Type: 210	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zer Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001
Scheduled Compliance Date: Citation Information: Seq # Type	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State redia Inspection: NO Sampling: NO Type: 310 Agency: State reation Printed Above Disposition Status: Type: 210 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zer Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001
Citation Information: Seq # Type 1 STATE REGU  CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Penalty Information: Penalty Inform CA Component: N	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310 Agency: State location Printed Above Disposition Status: Type: 210 Agency: State location Printed Above Disposition Printed Above	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zer Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009 Responsible Person: NYTLR	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001 Branch: CO
Citation Information: Seq # Type 1 STATE REGULATION Citizen Complaint: NO Multim  Enforcement: Activity Location: NY Docket: CO3-20090521-39 Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39 Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO 3-20080117-2	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State ledia Inspection: NO Sampling: NO Type: 310 Agency: State nation Printed Above Disposition Status: Type: 210 Agency: State nation Printed Above Disposition Status: Type: 210 Agency: State nation Printed Above Disposition Status: Type: 310 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zel Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009 Responsible Person: NYTLR  Appeal Initiated:	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved:  Identifier: 001 Branch: CO  Appeal Resolved:
CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO 3-20080117-2  Penalty Information: Penalty Inform	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State dedia Inspection: NO Sampling: NO Type: 310 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection: NO Sampling: NO Type: 310 Agency: State dedia Inspection: NO Sampling: NO Sampling: NO Sampling: NO State Disposition Status: Type: 310 Agency: State Disposition Printed Above	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zel Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009 Responsible Person: NYTLR  Appeal Initiated: Action Date: 10/03/2008 Responsible Person: NYSGH	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001 Appeal Resolved: Identifier: 001
CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO 3-20080117-2  Penalty Information: Penalty Inform CA Component: N	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State dedia Inspection: NO Sampling: NO Type: 310 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection Status: Type: 310 Agency: State dedia Inspection Status: Type: 310 Agency: State dedia Inspection Status: Type: 310 Agency: State	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zel Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009 Responsible Person: NYTLR  Appeal Initiated: Action Date: 10/03/2008 Responsible Person: NYSGH  Appeal Initiated:	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved:
CEI Evaluation 05/30/2007 Activity Citizen Complaint: NO Multim  Enforcement: Activity Location: NY Docket: CO3-20090521-39 Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO3-20090521-39  Penalty Information: Penalty Inform CA Component: N  Enforcement: Activity Location: NY Docket: CO 3-20080117-2  Penalty Information: Penalty Inform	Actual Compliance Date: 03/2 Citation 373-3.2(g)(5)  Location: NY By: State dedia Inspection: NO Sampling: NO Type: 310 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection Status: Type: 210 Agency: State dedia Inspection: NO Sampling: NO Type: 310 Agency: State dedia Inspection: NO Sampling: NO Sampling: NO Sampling: NO State Disposition Status: Type: 310 Agency: State Disposition Printed Above	Identifier: 001 Person: NYFKY Not Subtitle C: NO Day Zel Action Date: 03/01/2012 Responsible Person: NYTLR  Appeal Initiated: Action Date: 05/21/2009 Responsible Person: NYTLR  Appeal Initiated: Action Date: 10/03/2008 Responsible Person: NYSGH	Branch: R3 Found Violation: YES ro: 05/30/2007 Focus Area:  Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001 Branch: CO  Appeal Resolved: Identifier: 001 Branch: CO

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

lation: Activity Location: NY Scheduled Compliance Date:	Type: 265.B	Determined Date: 05/30/20 ctual Compliance Date: 03/2		mined by Agency: State RTC Qualifier: NOT RE	•	ible Agency: State equence Number: 12
Citation Information: Seq # Typ 1 STA	e ATE REGULATION	Citation 373-3.2(g)(1),(2), and	1 (3)			
<b>CEI Evaluation</b> 05/30/2007 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtit	Person: NYFKY le C: NO Day Zero	Branch: R3: 05/30/2007	Found Violation: YES Focus Area:
Enforcement: Activity Location: Docket: CO3-20090521-39	Ä	pe: 310 Agency: State	Action Date: 0 Respons	3/01/2012 ible Person: NYTLR	Identifier: 001 Branch: CO	
CA Component: N  Enforcement: Activity Location: Docket: CO3-20090521-39	Ä	: pe: 210 kgency: State	Action Date: 0	al Initiated: 5/21/2009 ible Person: NYTLR	Apportifier: 001 Branch: CO	eal Resolved:
CA Component: N  Enforcement: Activity Location: Docket: CO 3-20080117-2	Ä	: pe: 310 Agency: State	Action Date: 1	al Initiated: 0/03/2008 ible Person: NYSGH	Appo Identifier: 001 Branch: CO	eal Resolved:
CA Component: N  Enforcement: Activity Location: Docket:	Ä	: pe: 210 Agency: State	Action Date: 0	al Initiated: 2/07/2008 ible Person: NYSGH	Appo Identifier: 001 Branch: R3	eal Resolved:
Penalty Information: Per CA Component: N	nalty Information Printed Abov Disposition Status		Appe	al Initiated:	Арре	eal Resolved:
Scheduled Compliance Date:  Citation Information: Seq # Typ  1 ST		Determined Date: 05/30/20 ctual Compliance Date: 01/1 Citation 373-3.3(e)		nined by Agency: State RTC Qualifier: DOCUM	•	ible Agency: State equence Number: 14
<b>CEI Evaluation</b> 05/30/2007 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtit	Person: NYFKY le C: NO Day Zero:	Branch: R3 : 05/30/2007	Found Violation: YES Focus Area:
Enforcement: Activity Location: Docket: CO3-20090521-39 Penalty Information: Per CA Component: N	• • • • • • • • • • • • • • • • • • • •			5/21/2009 ible Person: NYTLR	Identifier: 001 Branch: CO	eal Resolved:
Enforcement: Activity Location: Docket: CO 3-20080117-2	NY Typ	oe: 310 Agency: State	Action Date: 1		Identifier: 001  Branch: CO	

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforceme Docke	t:		A	e: 210 gency: State	Action Date: 0 Respons	2/07/2008 ible Person: NYSGH	Identifier: 00 Branch: F	
Penalty Information: Penalty Informati CA Component: N D		Disposition Status:			al Initiated:		Appeal Resolved:	
Scheduled C	vity Location: No compliance Date: ormation: Seq #	Type: 26 Type STATE REGU	Act	Determined Date: 05/30 ual Compliance Date: 0 Citation 373-3.3(c)		mined by Agency: State RTC Qualifier: DOCU	•	onsible Agency: State Sequence Number: 15
CEI Evaluat	ion 05/30/200 Complaint: NO		ocation: NY edia Inspection: NO	By: State Sampling: NC	Identifier: 001 Not Subti	Person: NYFKY le C: NO Day Ze	Branch: R3 ro: 05/30/2007	Found Violation: YES Focus Area:
Pena CA Co Enforceme Docke	nt: Activity Loc t: CO3-20090521 ty Information: mponent: N nt: Activity Loc t: CO 3-2008011	-39 Penalty Inform ation: NY 7-2	A ation Printed Above Disposition Status: Typ	e: 310 gency: State	Appe Action Date: 1	ible Person: NYTLR al Initiated:	Identifier: 00 Branch: 00 Identifier: 00 Branch: 0	CO Appeal Resolved: 01
Enforceme Docke			71	e: 210 gency: State	Action Date: 0 Respons	ible Person: NYSGH	Identifier: 00 Branch: F	
CA Co	mponent: N		Disposition Status:		Appe	al Initiated:		Appeal Resolved:
Scheduled C	vity Location: NY compliance Date: ormation: Seq # 1	Type: 26 Type STATE REGU	Act	Determined Date: 05/30 ual Compliance Date: 0 Citation 373-3.3(f)		mined by Agency: State RTC Qualifier: DOCU		oonsible Agency: State Sequence Number: 16
CEI Evaluat	ion 05/30/200 Complaint: NO	-	ocation: NY edia Inspection: NO	By: State Sampling: NC	Identifier: 001 Not Subti	Person: NYFKY le C: NO Day Ze	Branch: R3 ro: 05/30/2007	Found Violation: YES Focus Area:
Docke Pena	nt: Activity Loc t: CO3-20090521 ty Information: mponent: N	-39	,,	e: 210 gency: State	·	5/21/2009 ible Person: NYTLR al Initiated:	Identifier: 00 Branch: 0	
Docke	nt: Activity Loc t: CO 3-2008011 ty Information:	7-2	71	e: 310 gency: State	Action Date: 1 Respons	0/03/2008 ible Person: NYSGH	Identifier: 00 Branch: 0	
	mponent: N	i chaity initoffii	Disposition Status:		Appe	al Initiated:		Appeal Resolved:

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Enforcement:  Docket:	Activity Locatio		Type: 210 Agency: State	Action Date: 02/07/2008 Responsible Person:		dentifier: 001 Branch: R3	
Penalty Information: Penalt CA Component: N			alty Information Printed Above  Disposition Status:			Δn	ppeal Resolved:
on compe	onone. 14	Disposition of	atuo.	Appeal Initiated:		Λρ	pear Nesolveu.
lation: Activity	Location: NY	Type: 265.D	Determined Date: 05/30/2	2007 Determined by Ag	ency: State	Respor	nsible Agency: State
Scheduled Comp	pliance Date:		Actual Compliance Date: 01/	/08/2009 RTC C	Qualifier: DOCUMENT	ED	Sequence Number: 17
Citation Informa		уре	Citation				
	1 S	STATE REGULATION	373-3.4(c)				
<b>CEI Evaluation</b>	05/30/2007	Activity Location: NY	By: State	Identifier: 001 Pers	son: NYFKY Br	ranch: R3	Found Violation: YES
Citizen Cor	mplaint: NO	Multimedia Inspection:	: NO Sampling: NO	Not Subtitle C: NO	Day Zero: 05	/30/2007	Focus Area:
Enforcement:	Activity Locatio	n: NV	Type: 310	Action Date: 10/03/2008		dentifier: 001	
	O 3-20080117-2	11. IN I	Agency: State	Responsible Person:	-	Branch: CO	
		enalty Information Printed A					
CA Compo		Disposition Sta		Appeal Initiated:		An	peal Resolved:
Enforcement:	Activity Locatio	n: NY	Type: 210	Action Date: 02/07/2008	ŀ	dentifier: 001	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Docket:	•		Agency: State	Responsible Person:	NYSGH	Branch: R3	
Penalty Ir	nformation: P	enalty Information Printed A	bove				
CA Compo	onent: N	Disposition Sta	atus:	Appeal Initiated:		Ap	ppeal Resolved:
olation: Activity	Location: NY	Type: 265.D	Determined Date: 05/30/2	2007 Determined by Ago	ency: State	Respon	nsible Agency: State
Scheduled Comp		. ) po. 200.2	Actual Compliance Date: 01/		Qualifier: OBSERVED	. 100po.	Sequence Number: 18
Citation Informa		ype	Citation				
	•	TATE REGULATION	373-3.4(e)				
CEI Evaluation	05/30/2007	Activity Location: NY	By: State	Identifier: 001 Pers	son: NYFKY Br	ranch: R3	Found Violation: YES
	mplaint: NO	Multimedia Inspection:	•	Not Subtitle C: NO	Day Zero: 05		Focus Area:
	<u> </u>	<u> </u>					1 ocus Arca.
	Activity Locatio		Type: 310	Action Date: 03/01/2012	-	dentifier: 001	
	O3-20090521-39		Agency: State	Responsible Person:	NYILR	Branch: CO	
		enalty Information Printed A		Appeal Initiated			and Decelor
CA Compo	onent: N Activity Locatio	Disposition Sta	atus: Type: 210	Appeal Initiated: Action Date: 05/21/2009	1	Ap dentifier: 001	ppeal Resolved:
	O3-20090521-39		Agency: State	Responsible Person:		Branch: CO	1
		enalty Information Printed A	· ·	responsible i clauli.			
	nomanom. F	•		Appeal Initiated:		Δη	ppeal Resolved:
Penalty Ir	nnent: N	Disposition St	atus.			AU	NOCAL INCOUNCY.
Penalty Ir		Disposition Stanta		• • • • • • • • • • • • • • • • • • • •	l,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Penalty In CA Composition Enforcement:	onent: N Activity Locatio O 3-20080117-2		Type: 310	Action Date: 10/03/2008 Responsible Person:		dentifier: 001 Branch: CO	•
Penalty Ir CA Compo Enforcement: Docket: Co	Activity Locatio O 3-20080117-2		Type: 310 Agency: State	Action Date: 10/03/2008		dentifier: 001	•

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

	rcement: Docket:	Activity Loca			ype: 210 Agency:		Action Dat Resp		/2008 Person: NYS	SGH	Identifie Bran	er: 001 ch: R3	
Penalty Information: Penalty Information Printe CA Component: N Disposition			Above										
		Disposition Stat	Status:		Δ	Appeal Initiated:		Appeal Resolved:		al Resolved:			
olation	Activity	Location: NY	Type: 2	65.D	Detern	nined Date: 05/30/20	07 D	etermine	d by Agency	: State		Responsib	ole Agency: State
Sched	duled Comp	oliance Date:			Actual Co	mpliance Date: 01/0	8/2009		RTC Quali	fier: DOCUME	ENTED	Se	equence Number: 19
Citati	ion Informa	tion: Seq# 1	Type STATE REGI	JLATION		Citation 373-3.4(f)							
	valuation	05/30/2007		Location: NY	,	State Sampling, NO.	Identifier: 0	01 ubtitle C	Person:	NYFKY Day Zero:	Branch:		Found Violation: YES
	Cilizen Con	nplaint: NO	Multin	nedia Inspection: I	NO .	Sampling: NO	NOI S	ublille C	. NO	Day Zero.	05/30/20	U <i>1</i>	Focus Area:
Enfo	rcement:	Activity Loca	tion: NY	7	Type: 310		Action Dat	e: 10/03	/2008		Identifie	er: 001	
	Docket: C0	O 3-20080117-	2		Agency:	State	Resp	onsible l	Person: NYS	SGH	Bran	ch: CO	
	Penalty In	formation:	Penalty Inform	nation Printed Ab									
	CA Compo	nent: N		Disposition Stat	us:		Δ	ppeal Ini	tiated:			Appe	al Resolved:
Enfo	rcement:	Activity Loca	tion: NY	7	Type: 210		Action Dat	e: 02/07	/2008		Identifie	er: 001	
	Docket:				Agency:	State	Resp	onsible l	Person: NYS	SGH	Bran	ch: R3	
	Penalty In	formation:	Penalty Inform	nation Printed Ab	ove								
	CA Compo	nent: N		Disposition Stat	us:		Δ	ppeal Ini	tiated:			Appe	al Resolved:
	,	Location: NY	Type: 2	62.B	Detern	nined Date: 05/30/20	07 D	etermine	d by Agency			Responsib	ole Agency: State
Sched	duled Comp	oliance Date:			Actual Co	mpliance Date: 01/0	8/2009		RTC Quali	fier: DOCUME	ENTED	Se	equence Number: 20
Citati	ion Informa	tion: Seq#	Type		(	Citation							
		1	STATE REGI	JLATION	3	372.2(b)(3)							
CELE	valuation	05/30/2007	Activity	Location: NY	Bv:	State	Identifier: 0	01	Person:	NYFKY	Branch:	R3	Found Violation: YES
_		nplaint: NO		nedia Inspection: I	,	Sampling: NO		ubtitle C		Day Zero:	05/30/20	07	Focus Area:
Enfo	rcement:	Activity Loca	tion: NY	7	Гуре: 310		Action Dat	e: 10/03	/2008		Identifie	er: 001	
	Docket: CO	O 3-20080117-	2		Agency:	State	Resp	onsible l	Person: NYS	SGH	Bran	ch: CO	
	Penalty In	formation:	Penalty Inform	nation Printed Ab	ove								
	CA Compo	nent: N	-	Disposition Stat			Д	ppeal Ini	tiated:			Appe	al Resolved:
Enfo	rcement:	Activity Loca	tion: NY	٦	Type: 210		Action Dat	e: 02/07	/2008		Identifie		
	Docket:				Agency:	State	Resp	onsible l	Person: NYS	SGH	Bran	ch: R3	
	Penalty In	formation:	Penalty Inform	nation Printed Ab	ove								
	CA Compo	nonti Ni		Disposition Stat	ne.		^	ppeal Ini	tiated:			Anna.	al Resolved:

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

Scheduled Compliance Date:	Type: 262.A	Determined Date: 05/30/20 Actual Compliance Date: 07/2		ed by Agency: EPA RTC Qualifier:	·	ole Agency: EPA equence Number: 3
CEI Evaluation 05/30/2003	Activity Location: NY	By: EPA	Identifier: 001	Person: R2RV	Branch: RCB	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	NO Sampling: NO	Not Subtitle	C: NO Day Zero:		Focus Area:
<b>Enforcement:</b> Activity Location: Docket:	NY	Type: 120 Agency: EPA	Action Date: 07/2 Responsible	9/2003 e Person: R2RV	Identifier: 001 Branch: RCB	
CA Component: N	Disposition Sta	atus:	Appeal I		Appe	al Resolved:
<b>Enforcement:</b> Activity Location:	NY	Type: 120	Action Date: 06/1		Identifier: 001	
Docket:		Agency: EPA	•	Person: R2RV	Branch: RCB	
CA Component: N	Disposition Sta	itus:	Appeal I	nitiated:	Appe	al Resolved:
olation: Activity Location: NY	Type: 262.A	Determined Date: 11/18/20		ed by Agency: EPA	·	ole Agency: EPA
Scheduled Compliance Date:		Actual Compliance Date: 07/2	9/2003	RTC Qualifier:	Se	equence Number: 2
CEI Evaluation 11/18/2002	Activity Location: NY	By: EPA	Identifier: 001	Person: R2RV	Branch: RCB	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	NO Sampling: NO	Not Subtitle	C: NO Day Zero:	1	Focus Area:
Enforcement: Activity Location:	NY	Type: 120	Action Date: 07/2	9/2003	Identifier: 001	
Docket:		Agency: EPA	Responsible	Person: R2RV	Branch: RCB	
CA Component: N	Disposition Sta	atus:	Appeal I	nitiated:	Appe	al Resolved:
<b>Enforcement:</b> Activity Location:	NY	Type: 120	Action Date: 06/1	.,	Identifier: 001	
Docket:		Agency: EPA	Responsible	Person: R2RV	Branch: RCB	
CA Component: N	Disposition Sta	atus:	Appeal I	nitiated:	Appe	al Resolved:
olation: Activity Location: NY	Type: 262.A	Determined Date: 08/22/20	01 Determin	ed by Agency: State	Responsit	ble Agency: State
Scheduled Compliance Date: 10/07/ Former Citation - SR - Parts372.2/3		Actual Compliance Date: 10/0		RTC Qualifier: DOCUM	·	equence Number: 1
CEI Evaluation 08/22/2001	Activity Location: NY	By: State	Identifier: 001	Person: NYWBY	Branch: R3	Found Violation: YES
Citizen Complaint: NO	Multimedia Inspection:	NO Sampling: NO	Not Subtitle	C: NO Day Zero:	•	Focus Area:
Enforcement: Activity Location:	NY	Type: 120	Action Date: 09/0	7/2001	Identifier: 001	
Docket:		Agency: State	Responsible	Person: NYWBY	Branch: R4	
CA Component: N	Disposition Sta	atus:	Appeal I	nitiated:	Appe	al Resolved:
olation: Activity Location: NY	Type: 262.A	Determined Date: 04/07/19	99 Determin	ed by Agency: EPA	Responsit	ble Agency: EPA
Scheduled Compliance Date: 06/10/ Former Citation - SR - 6nycrr372.2(a	/1999	Actual Compliance Date: 06/1		RTC Qualifier: OBSER\	·	equence Number: 1
CEI Evaluation 02/10/1999	Activity Location: NY	By: EPA	Identifier: 000	Person: R2AHJ	Branch: RCB	Found Violation: YES
aiddigii 02/10/1000	Loodion. 141	-J / \		. 0.0011. 112/1110	a.ioii. 110D	. Sana violation. TEO

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

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Report run on: June 29, 2015 - 10:34 AM

Enforcement: Docket:	Activity Location:	,,	: 120 ency: EPA	Action Date: 04/07/ Responsible P	1999 'erson: R2AHJ		Identifier: 000 Branch: RCB	
CA Component: N		Disposition Status:		Appeal Initiated:			Appeal Resolved:	
luations With No Vi	iolations:							
CEI Evaluation Citizen Com	11/24/2014 aplaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY		Branch: R3 11/24/2014	Found Violation: NO Focus Area:
CEI Evaluation Citizen Com	03/05/2014 aplaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY NO [		Branch: R3 03/05/2014	Found Violation: NO Focus Area:
SNN Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY	WME Day Zero:	Branch: R3	Found Violation: NO Focus Area:
SNY Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY NO [		Branch: R3 10/30/2013	Found Violation: N/A Focus Area:
SNN Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY NO [	TLR Day Zero:	Branch: CO	Found Violation: NO Focus Area:
CEI Evaluation Citizen Com	02/02/2012 aplaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 001 Not Subtitle C:	Person: R2I		Branch: RCB 02/02/2012	Found Violation: NO Focus Area:
SNY Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY NO [		Branch: CO 01/08/2009	Found Violation: N/A Focus Area:
SNN Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY	TLR Day Zero:	Branch: CO	Found Violation: NO Focus Area:
SNY Evaluation Citizen Com		Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C:	Person: NY:		Branch: CO 05/30/2007	Found Violation: N/A Focus Area:

Total Number of Handlers:
Total Number of Activity Locations:

<sup>\*</sup> End of Report \*

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

## **Description of codes used on the report:**

Universes	Description of Universes
Generator	Indicates that the facility is a Large Quantity Generator (LQG), Small Quantity Generator (SQG), Conditionally Exempt Small Quantity Generator (CEG), or not a generator (N).
Transporter	Indicates that the facility Transports waste subject to RCRA regulations. ('Y' indicates that the facility is in this universe).
Operating TSDF	Indicates that the facility is a Treatment, Storage or Disposal facility subject to any type of enforcement. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
IC in Place	Indicates that the facility has Institutional Controls in place. ('Y' indicates that the facility is in this universe).
El Indicator (HE / GW)	Indicates that the facility has controls in place for Environmental Indicators.  HE - Human Exposures ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control;  'N' indicates the exposure does not exist)  GW - Groundwater Release ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control;  'N' indicates the exposure does not exist)
Short-Term Gen	Indicates that the facility is a short term or one time event generator and not generating from ongoing processes.
Transfer Facility	Indicates that the facility transfers hazardous waste.
Offsite Receiver	Indicates that the facility, whether public or private, currently accepts hazardous waste from another site (site identified by a different EPA ID).
HSM	Indicates that the facility manages hazardous secondary material(s) (e.g. spent material, by-product or sludge) that when discarded, would be identified as hazardous waste.
Subpart K	Indicates that the facility has opted into the subpart K laboratory rule. It then specifies the type of facility (C - College or University; H - Teaching Hospital; N - Non-profit Research Institute; W - withdrawal from the rule)
Full Enforcement	Indicates that the facility is a Treatment, Storage or Disposal facility which is part of the Full Enforcement universe. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
CA Workload	Indicates that the facility is part of the Corrective Action Workload universe. ('Y' indicates that the facility is in this universe).
Active State Gen	Indicates that the facility is an Active State Generator. ('Y' indicates that the facility is in this universe).
Converter	Indicates that the facility is a Converter Treatment, Storage or Disposal facility.  It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State TSDF	Indicates that the facility is a State Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State Unaddressed SNC	Indicates that the facility is a State Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State Addressed SNC	Indicates that the facility is a State Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State SNC w/ Compl. Sched	Indicates that the facility is a State Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).
EPA Unaddressed SNC	Indicates that the facility is an EPA Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA Addressed SNC	Indicates that the facility is an EPA Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA SNC w/ Compl. Sched	Indicates that the facility is a EPA Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

# Description of codes used on the report:

	<b>ILITY</b> - indicates the reason why the handler is not accessible for normal RCRA tracking and (previously called Bankrupt Indicator):
Code	Description
В	indicates that the handler has filed for bankruptcy and bankruptcy litigation is in process.
С	indicates that all RCRA responsibilities for permitting/closure, corrective action, and compliance monitoring and enforcement at the facility have been formally transferred to the CERCLA program or state equivalent.
F	indicates that all responsible parties (owners/operators) for the handler have fled the country or are otherwise not available for prosecution.
L	indicates that the handler's case is tied up in litigation to the extent that further progress in achieving RCRA compliance through normal enforcement is not possible.

<b>NON-NOTIFIER</b> - indicates that the handler has been identified through a source other than Notification and is suspected of conducting RCRA-regulated activities without proper authority:					
Code	Description				
E	indicates that the handler was initially a non-notifier, subsequently determined to be exempt from requirements to notify.				
0	indicates that the handler is a former non-notifier.				
Х	indicates that the handler is a non-notifier.				

Violation Type	Description
262.A	GENERATORS - GENERAL
262.B	GENERATORS - MANIFEST
262.C	GENERATORS - PRE-TRANSPORT
265.B	TSD IS-GENERAL FACILITY STANDARDS
265.C	TSD IS-PREPAREDNESS AND PREVENTION
265.D	TSD IS-CONTINGENCY PLAN AND EMERGENCY PROCEDURES
265.I	TSD IS-CONTAINER USE AND MANAGEMENT
273.B	UNIVERSAL WASTE - SMALL QUANTITY HANDLERS
XXS	STATE STATUTE OR REGULATION

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.

# Description of codes used on the report:

Evaluation Type	Type Description
CEI	COMPLIANCE EVALUATION INSPECTION ON-SITE
SNN	NOT A SIGNIFICANT NON-COMPLIER
SNY	SIGNIFICANT NON-COMPLIER

Enforcement Type	Enforcement Description
120	WRITTEN INFORMAL
140	LETTER OF INTENT TO INITIATE ENFORCEMENT ACTION
210	INITIAL 3008(A) COMPLIANCE
310	FINAL 3008(A) COMPLIANCE ORDER

<sup>\*</sup> Note: Penalty amount may not reflect all violations cited.